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Anneldung Nr./Application No./Demands n°.//Patent Nr./Patent No./Brevet n 99925874.2-1222/US9911629

Zelonen/Rel./Rél. CMD/FP5894753

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Thulaire

Virologic, Inc.

# COMMUNICATION

The European Patent Office herewith transmits the partial European search report under Rule 46(1) EPC relating to the above-mentioned European patent application.

Copies of the documents cited in the search report arc cridosed.

The applicant's attention is drawn to the following:

The Search Division informs the applicant that if the European search report is also to cover inventions other than the invention first mentioned in the claims, a further search fee must be paid for each of these inventions, within ONE MONTH after notification of this communication.

If the application has been filed up to 30 June 1999, the search fee in force before 01 July 1999 (EUR 869.—) or the equivalent applicable on the date of payment is peyable. This applies also to the search fees requested under Rule 46(1) EPC. See also OJ EPO 06/1999, 405.

The abstract was modified by the Search Division and the definitive text is attached to the present communication.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

Note to users of the automatic debiting procedure:

OHICO Unless the EPO receives prior instructions to the contrary, the search fee(s) will be debited on the last day of the period for payment. For further details see the Arrangements for the automatic one says of debiting procedure, Supplement to OJ EPO 02/1999.

REGISTERED LETTER



### SUPPLEMENTARY PARTIAL EUROPEAN SEARCH REPORT

Application Number

under Rule 46, paragraph 1 of the European Patent Ep 99 92 5874 Convention

	DOCUMENTS CONSIDER	edec unam commercials.	Relevant	CLASSIFICATION OF THE
repory	Of releasing because	<u> </u>	to claim	APPLICATION (INCCLE)
	WO 97/27332 A (INNOGILIEVEN (BE); LOUWAGIN RUD) 31 July 1997 (19 * page 4, line 5 - page 10, line 20 - page 13, line 26 - page 22, line 19 - tables 1,2 *	NETICS NV; STUYVER JOOST (BE); ROSSAU 197-07-31) age 5, line 12 * line 25 * line 29 * line 22 *	1-5	C12Q1/66 C12Q1/68 C12Q1/70 C12P19/34 C12N15/60 C12N15/64 C12N15/85 C07H21/04
Υ, (	WO 97/27319 A (VIROL 31 July 1997 (1997-6 * claims 1,5,12,28,3	DGIC INC) 7-31) 3 * 	1-8	
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				TECHNICAL FIELDS SEARCHED (In.C.G)
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# PARTIAL EUROPEAN SEARCH REPORT

Application Number

EP 99 92 5874

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ategary	Citation of document with indication, where appropriate.	Relovent to claim		·
D,Y	DUEWEKE T J ET AL: "A MUTATION IN REVERSE TRANSCRIPTASE OF BIS (HETEROARYL) PIPERAZINE-RESI STANT HUMAN IMMUNODEFICIENCY VIRUS TYPE 1 THAT CONFERS INCREASED SENSITIVITY TO OHTER NONNUCLEOSIDE INHIBITORS" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, NATIONAL ACADEMY OF SCIENCE. WASHINGTON, US. vol. 90, May 1993 (1993-05), pages 4713-4717, XP001080115 ISSN: 0027-8424	1-8		
	* the whole document *  * figure 2; table 1 *	1	TECHNICAL P SEARCHED	IELDS (int.Cl.6)
<b>Y</b>	ROMERO D L ET AL: "Targeting delavirdine/atevirdine resistant HIV-1: identification of (alkylamino)piperidine-containing bis(heteroaryl)piperazines as broad spectrum HIV-1 reverse transcriptase inhibitors."  JOURNAL OF MEDICINAL CHEMISTRY. 13 SEP 1996, vol. 39, no. 19, 13 September 1996 (1996-09-13), pages 3769-3789, XP002295736 ISSN: 0022-2623 * the whole document * * table 1 *	1-8		
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# PARTIAL EUROPEAN SEARCH REPORT

Application Number

EP 99 92 5874

	DOCUMENTS CONSIDERED TO BE RELEVANT	CLASSIFICATION OF THE APPLICATION (INLCLS)	
ategory	Charles of document with indication, where appropriate,	Relevant to claim	
<b>Y</b>	BUCKHEIT R W JR ET AL: "Resistance to 1-[(2-hydroxyethoxy)methyl]-6-(phenylthio) thymine derivatives is generated by mutations at multiple sites in the HIV-1 reverse transcriptase." VIROLOGY. 20 JUN 1995, vol. 210, no. 1. 20 June 1995 (1995-06-20), pages 186-193, XP002295737 ISSN: 0842-6822 * the whole document * * tables 2-4 *	1-8	
A	FAN N ET AL: "Mechanism of resistance to U-90152S and sensitization to L-697,661 by a proline to leucine change at residue 236 of human immunodeficiency virus type 1 (HIV-1) reverse transcriptase." FEBS LETTERS. 13 FEB 1995, vol. 359, no. 2-3, 13 February 1995 (1995-02-13), pages 233-238, XP002295738 ISSN: 0014-5793 * the whole document * * figures 3,4 *	1-8	TECHNICAL FIELDS SEARCHED (INLCLS)
A	KANKI P J ET AL: "Virology of HIV-1 and HIV-2: implications for Africa." AIDS (LONDON, ENGLAND) 1997, vol. 11 Suppl B, 1997, pages S33-S42, XP008035289 ISSN: 0269-9370 * figure 1 *	1-8	



# PARTIAL EUROPEAN SEARCH REPORT

Application Number

EP 99 92 5874

1	DOCUMENTS CONSIDERED TO BE RELEVANT	CLASSIFICATION OF THE APPLICATION (Int.CLG)		
ategory	Citation of document with indication, where appropriate, of relevant passages	Fleievent to olaim		
	ESNOUF R M ET AL: "Unique features in the structure of the complex between HIV-1 reverse transcriptase and the bis(heteroaryl)piperazine (BHAP) U-90152 explain resistance mutations for this nonnucleoside inhibitor." PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE UNITED STATES OF AMERICA. 15 APR 1997, vol. 94, no. 8, 15 April 1997 (1997-04-15), pages 3984-3989, XP002295739 ISSN: 6027-8424	1-8	••	
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	MELLORS J W ET AL: "MUTATIONS IN HIV-1 REVERSE TRANSCRIPTASE AND PROTEASE ASSOCIATED WITH DRUG RESISTANCE" INTERNATIONAL ANTIVIRAL NEWS, CHURCHILL LIVINGSTONE, EDINBURGH, GB, vol. 3, 1995, pages 8-13, XP000614717 ISSN: 0965-2310 * the whole document *	1-8		
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# LACK OF UNITY OF INVENTION SHEET B

Application Number

EP 99 92 5874

The Search Division considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely:

1. claims: 1-8 (partially)

Method for assessing the effectiveness of non-nucleoside reverse transcriptase antiretroviral therapy of an HIV-infected patient comprising evaluating whether a patient plasma sample contains nucleic acid encoding HIV reverse transcriptase (HIV-RT) having a mutation at codon 236 or at codons 236 and 103, 181 or a combination thereof; and method and resistance test vector for assessing the biological effectiveness of a candidate HIV antiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at codon 236 or at codon 236 and 103, 181 or a combination thereof.

2. claims: 1-8 (partially)

Method for assessing the effectiveness of non-nucleoside reverse transcriptase antiretroviral therapy of an HIV-infected patient comprising evaluating whether a patient plasma sample contains nucleic acid encoding HIV-RT having a mutation at codon 225 or codons 225 and 103, 181 or a combination thereof; and method and/or resistance test vector for assessing the biological effectiveness of a candidate HIV antiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at said codons.

3. claims: 1-8 (partially)

Method for assessing the effectiveness of non-nucleoside reverse transcriptase antiretroviral therapy of an HIV-infected patient comprising evaluating whether a patient plasma sample contains nucleic acid encoding HIV-RT having a mutation at codon 190 or codons 190 and 89, 101, 103 or a combination thereof; and method and/or resistance test vector for assessing the biological effectiveness of a candidate HIV antiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at said codons.

4. claims: 1-8 (partially)



#### LACK OF UNITY OF INVENTION SHEET B

**Application Number** 

EP 99 92 5874

The Search Division considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely:

Method for assessing the effectiveness of non-nucleoside reverse transcriptase antiretroviral therapy of an HIV-infected patient comprising evaluating whether a patient plasma sample contains nucleic acid encoding HIV-RI having a mutation at codon 230 or codons 230 and 181; and method and/or resistance test vector for assessing the biological effectiveness of a candidate HIV antiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at said codons.

### 5. claims: 1-8 (partially)

Method for assessing the effectiveness of non-nucleoside reverse transcriptase antiretroviral therapy of an HIV-infected patient comprising evaluating whether a patient plasma sample contains nucleic acid encoding HIV-RT having a mutation at codon 188 or codons 188 and 138, 103. 109 or a combination thereof; and method and/or resistance test vector for assessing the biological effectiveness of a candidate HIV antiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at said codons.

### 6. claims: 1-7 (partially)

Method for assessing the effectiveness of non-nucleoside reverse transcriptase antiretroviral therapy of an HIV-infected patient comprising evaluating whether a patient plasma sample contains nucleic acid encoding HIV-RT having a mutation at codon 181 or codons 181 and 98, 106, 227 or a combination thereof; and method and/or resistance test vector for assessing the biological effectiveness of a candidate HIV antiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at said codon(s).

### 7. claims: 1-7 (partially)

Method for assessing the effectiveness of non-nucleoside reverse transcriptase antiretroviral therapy of an HIV-infected patient comprising evaluating whether a patient plasma sample contains nucleic acid encoding HIV-RT having a mutation at codon 106 or codons 106 and 227, 189 or a combination thereof; and method and/or resistance test vector for assessing the biological effectiveness of a candidate HIV antiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at said codon(s).



# LACK OF UNITY OF INVENTION SHEET B

**Application Number** 

EP 99 92 5874

The Search Division considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely:

### 8. claims: 1-7 (partially)

Method for assessing the effectiveness of non-nucleoside reverse transcriptase antiretroviral therapy of an HIV-infected patient comprising evaluating whether a patient plasma sample contains nucleic acid encoding HIV-RT having a mutation at codon 103 or codons 103 and 100; and method and/or resistance test vector for assessing the biological effectiveness of a candidate HIV antiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at said codon(s).

### 9. claims: 6,7 (partially)

Method and resistance test vector for assessing the biological effectiveness of a candidate HIV antiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at codon 227.

### 10. claims: 6,7 (partially)

Method and resistance test vector for assessing the biological effectiveness of a candidate HIV antiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at codon 189.

### 11. claim: 7 (partially)

Resistance test vector for assessing the biological effectiveness of a candidate HIV entiretroviral drug compound comprising a patient-derived segment [of reverse transcriptase] which comprises a mutation at codons 103 and 101.

The single general concept that may possibly link inventions 1 to 11 listed above, as required by Rule 30 EPC, appears to be the provision of methods and/or products for assessing drug effectiveness involving HIV-RT having a mutation at a certain codon. Methods and/or products for assessing drug effectiveness involving HIV-RT having a mutation at a certain codon are already disclosed in the prior art (cf. W097/27332 A: p. 4, 1. 15 - p. 5, 1. 6; Tables 1 and 2; cf. Dueweke et al (1993): Table 1; Fig. 2; p. 4713, co. 2, par. 2 - p. 4714, co. 2, par. 2; cf. Romero et al (1996): Table 1; p. 3787, co. 1, par. 5 -



# LACK OF UNITY OF INVENTION SHEET B

**Application Number** 

EP 99 92 5874

The Search DMsion considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely:

col. 2, par. 3; cf.: De Clercq (1997): Tables 4 and 5). Therefore, the above defined single general concept lacks novelty and thus does not represent a single general inventive concept. Hence, the present application lacks unity (Art. 82 EPC).

### ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 99 92 5874

This arrive lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

15-69-2694

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